



GLOBAL G.A.P.

BioDiversity

Self-Check Template

ENGLISH VERSION V1.0_FEB22

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This self-check template is a support tool for producers. It can be used as a starting point for the self-assessment and the biodiversity action plan, both critical elements of the BioDiversity add-on.

This self-check template is designed to be used by the BioDiversity representatives of individual producers (Option 1) and producer groups (Option 2).

This template is a supporting document but can become an integral part of the CB audit when used for the self-assessment.

HOW TO READ THIS DOCUMENT

1.1.1	Numbers Reference to the respective control point and compliance criteria of the BioDiversity add-on
[Critical] [xxx Must]	Square brackets Indicate the compliance level of the respective C control point and compliance criteria
<input type="checkbox"/>	Boxes Indicate that this criterion must be checked to be compliant
(<input type="checkbox"/>)	Boxes in brackets Indicate that this is not a critical necessity for compliance but a valuable add-on for the CB audit
<input type="checkbox"/>	Blue boxes and font Relevant for Option 2 producer groups
	Templates Indicate that templates are available
	Information Additional information

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Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
1 BIODIVERSITY REPRESENTATIVE		
1.1 Resources and responsibilities		
1.1.1 Designating a BioDiversity representative		[Critical]
<input type="checkbox"/> A BioDiversity representative has been designated. <ul style="list-style-type: none"> <input type="checkbox"/> () The BioDiversity representative has a leading position and decision-making power on the farm. <input type="checkbox"/> () The BioDiversity representative is ideally engaged in production planning/processes. <input type="checkbox"/> A document describes the resources provided for the BioDiversity representative (working hours and financial support) <ul style="list-style-type: none"> <input type="checkbox"/> () A document describes where the representative needs support with knowledge (e.g., <i>Template knowledge about topics in Annex II</i>). <ul style="list-style-type: none"> • Additional training • Support from internal experts • External experts or advisers <input type="checkbox"/> () The BioDiversity representative has defined goals and targets (e.g., compliance with the add-on). <input type="checkbox"/> () Option 2 producer groups are advised to designate one representative at group level for all producer group members and have contact persons for each producer group member to support implementation.	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
1.1.2 Role of the BioDiversity representative		[Critical]
<input type="checkbox"/> The BioDiversity representative confirms by a statement and signature: <ul style="list-style-type: none"> <input type="checkbox"/> The correctness and completeness of the data, information, and conclusions in: <ul style="list-style-type: none"> • The farm self-assessment on biodiversity • The biodiversity action plan (BAP) 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	
2 FARM SELF-ASSESSMENT ON BIODIVERSITY		
2.1.1 Self-assessment		[Critical]
<input type="checkbox"/> A self-assessment of the biodiversity performance of the farm has been conducted and signed by the BioDiversity representative. <input type="checkbox"/> The self-assessment covers all control points required by the BioDiversity add-on: <ol style="list-style-type: none"> 1. BioDiversity representative 2. Farm self-assessment 3. Biodiversity action plan 4. Training, knowledge sharing, and capacity building 5. Farm-specific biodiversity advice 6. Off-farm synergies, communication, and collaboration 7. Areas dedicated to biodiversity 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

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Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
8. Protection, restoration, and other practices 9. Integrated pest management 10. Soil and nutrient management plan 11. Wastewater management and protection of water sources <input type="checkbox"/> The self-assessment is updated annually to monitor performance. ⓘ Use this self-check to gain an overview of your status quo. ⓘ Use the table at the end of this self-check to ensure that all control points have been addressed.		
3 BIODIVERSITY ACTION PLAN (BAP)		
3.1.1 Content of the BAP		[Critical]
<input type="checkbox"/> The BAP contains all topics relevant to compliance with the add-on. <input type="checkbox"/> The BAP builds on the self-assessment (control point 2.1.1). <input type="checkbox"/> The BAP contains short- and long-term goals and targets for all relevant topics, or justifications for non-compliance. <input type="checkbox"/> The BAP describes short- and long-term measures to achieve the goals and targets. <input type="checkbox"/> The BAP considers economic opportunities and risks. <input type="checkbox"/> The BAP contains geographical information (e.g., maps and lists) on: <ul style="list-style-type: none"> • Areas dedicated to biodiversity (see section 7) 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<ul style="list-style-type: none"> Protection, restoration, and other practices (habitats, protected areas, etc.) (see section 8) <p>① Producers are free to decide how to design the BAP. GLOBALG.A.P. provides templates and tools for use or orientation. You can also use an external tool consult an external expert or adviser, or develop alternative methods for a BAP.</p>		
3.1.2 Review and update of the BAP [Major Must]		
<p><input type="checkbox"/> The BAP is updated at least every three years.</p> <p>OR</p> <p><input type="checkbox"/> The BAP is updated when:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Results of the annual self-assessment (control point 2.1.1) indicate new topics or developments for the farm. <input type="checkbox"/> The self-assessment indicates the meeting or falling short of intended milestones, and adjustments are needed. <input type="checkbox"/> New strategies/developments on the farm require additional measures or milestones. 	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Partly</p> <p><input type="checkbox"/> No</p>	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
4 TRAINING, KNOWLEDGE SHARING, AND CAPACITY BUILDING		
4.1.1 BioDiversity representative training		[Major Must]
<ul style="list-style-type: none"> <input type="checkbox"/> A document outlines where the representative needs additional training. <input type="checkbox"/> Training certificates are available and ready to share at the audit. <input type="checkbox"/> No other training is necessary: Lack of training has not been a barrier to compliance with the add-on, and to supporting and strengthening biodiversity. <p>📄 Use <i>Template knowledge on topics in Annex II to gain an overview of responsibilities.</i></p>		
4.1.2 Internal or external staff training		[Major Must]
<ul style="list-style-type: none"> <input type="checkbox"/> Documents show that training for persons involved in the implementation of the add-on has been conducted (external or internal). <p>Information documents such as emails, flyers, presentations, etc. show that:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Internal staff affected by the implementation of the add-on have been informed and trained. <input type="checkbox"/> External contractors or consultants relevant to the implementation of the add-on have been informed and notified. <input type="checkbox"/> When fluctuation among internal and external staff is high, the producer can prove that information training takes place regularly, so that all staff are informed. 	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<p>Option 2 producer groups</p> <p><input type="checkbox"/> Option 2 producer groups that coordinate the implementation of the add-on centrally ensure that producer group members can implement necessary measures to comply with the add-on by training and/or providing necessary information.</p>		
5 FARM-SPECIFIC BIODIVERSITY ADVICE		
5.1.1 Obtaining and implementing advice		[Major Must]
<p><input type="checkbox"/> It is documented on which topics external advice has been obtained and implemented (e.g., <i>Template knowledge on topics in Annex II</i>).</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Partly</p> <p><input type="checkbox"/> No</p>	
5.1.2 Requirements for external experts and advisers		[Major Must]
<p>The external experts and advisers are qualified to advise on required topics.</p> <p>Either</p> <p><input type="checkbox"/> The external expert(s) and advisers are employees of an organization that has proven expertise within the required area of biodiversity.</p> <p>OR</p> <p><input type="checkbox"/> Certificates of education and/or proofs of regular training on biodiversity topics with active participation of the external experts and advisers are available.</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Partly</p> <p><input type="checkbox"/> No</p>	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
5.1.3 External experts and advisers free of conflicts of interest		[Major Must]
<input type="checkbox"/> External experts and advisers are free of conflicts of interest: The external expert or adviser is not affiliated with the distribution of on-farm agricultural inputs, services, and/or equipment.	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	
6 OFF-FARM SYNERGIES, COMMUNICATION, AND COLLABORATION		
6.1.1 Off-farm opportunities		[Minor Must]
<p>There is evidence of activities to promote biodiversity outside the farm. To comply, at least one of the points shall be proven:</p> <input type="checkbox"/> Informing the local or neighboring community or other interested groups about the biodiversity work of the producer <input type="checkbox"/> Cooperation with other producers or regionally active groups to protect and promote biodiversity or pass on or improve knowledge about biodiversity-promoting agricultural practices <input type="checkbox"/> Participation in joint projects with other producers (wildlife corridors, establishing areas dedicated to biodiversity, reducing pesticide drift, etc.) through e.g., financial resources, person-hours <input type="checkbox"/> Participation in research projects to plan and implement biodiversity management in the region <input type="checkbox"/> Others (describe in comment box)	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
7 AREAS DEDICATED TO BIODIVERSITY		
7.1.1 Areas dedicated to biodiversity at least 3% of the total agricultural business area		[Major Must]
<p>There is proof that at least 3% of the total agricultural business area is dedicated to biodiversity.</p> <ul style="list-style-type: none"> <input type="checkbox"/> The calculation of the amount of land dedicated to biodiversity is clear and comprehensible. <input type="checkbox"/> A map indicates the areas dedicated to biodiversity on the total agricultural business area, including leased and exchanged land. <input type="checkbox"/> This is supported by a list of plots describing different areas of the farm. <input type="checkbox"/> If this control point cannot be complied with, justify this in writing in the comment box and go to control point 7.2.4. <p>Option 2 producer groups</p> <ul style="list-style-type: none"> <input type="checkbox"/> It is possible for the land of all producer group members combined to contribute the 3% of areas dedicated to biodiversity on group level. However, individual producer group members may have less than 3%. This can be compensated by lands of other producer group members. <input type="checkbox"/> There is an overview of all the individual producer group members and their percentage of the areas dedicated to biodiversity. <ul style="list-style-type: none"> <input type="checkbox"/> A map <input type="checkbox"/> A list 	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
7.1.2 Areas dedicated to biodiversity at least 10% of the total agricultural business area		[Minor Must]
<p>There are two ways to comply.</p> <ul style="list-style-type: none"> • <u>Possibility 1: 10% has already been reached.</u> <ul style="list-style-type: none"> <input type="checkbox"/> The calculation of the amount of area dedicated to biodiversity is clear and comprehensible. <input type="checkbox"/> A map indicates the areas dedicated to biodiversity on the total agricultural business area including leased and exchanged land. <input type="checkbox"/> A list of various plots indicating different areas of the farm support the calculation. • <u>Possibility 2: Currently, less than 10% has been reached.</u> <ul style="list-style-type: none"> <input type="checkbox"/> Outline in the BAP section “areas dedicated to biodiversity” how you plan to increase your areas dedicated to biodiversity to 10% of your total area by 2030. <input type="checkbox"/> Successful examples of restoration or establishing such areas take time. It is recommended to start as early as possible. <input type="checkbox"/> A map indicates the areas dedicated to biodiversity on the total agricultural business area, including leased and exchanged land. <input type="checkbox"/> A list of various plots indicating different areas of the farm support the planning and calculation. 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<p>Option 2 producer groups</p> <ul style="list-style-type: none"> <input type="checkbox"/> It is possible for the total land of all producer group members combined to contribute the 10% of areas dedicated to biodiversity. However, individual producer group members may have less than 10%. This can be compensated by lands of other producer group members. <input type="checkbox"/> There is an overview of all the individual producer group members and their percentage of the areas dedicated to biodiversity. <ul style="list-style-type: none"> <input type="checkbox"/> A map <input type="checkbox"/> A list <input type="checkbox"/> Each producer group member maintains and contributes a certain percentage. <p>① The guidance document describes what type of land counts as areas dedicated to biodiversity.</p>		
7.1.3 Alternative measures to areas dedicated to biodiversity		[Major Must]
<ul style="list-style-type: none"> <input type="checkbox"/> The producer shall prove that they have used the full potential offered by their farmland before considering alternative off-farm measures. <ul style="list-style-type: none"> <input type="checkbox"/> If there is potential to establish areas dedicated to biodiversity, but they make up less than 3% of the total farmland, these options shall be used first. <input type="checkbox"/> It shall be justified in writing if there is insufficient potential to establish a total 3% areas dedicated to biodiversity within the producer's area 	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<p>OR</p> <ul style="list-style-type: none"> <input type="checkbox"/> Establishment of areas dedicated to biodiversity is possible, but not economically viable due to high adverse economic effects that pose risks to the producer's financial resilience. This shall be justified in writing. <input type="checkbox"/> Alternative measures/areas shall be considered and implemented in the order outlined below. <input type="checkbox"/> The alternative areas are at least 3% of the total agricultural business area. <input type="checkbox"/> The assessment of the potential is described in the BAP. <input type="checkbox"/> In all cases, the active contribution of the producer's resources is proven. (Documented: Financial, machines, working hours etc.). <ul style="list-style-type: none"> <input type="checkbox"/> Measures to improve the quality of areas dedicated to biodiversity that are adversely affected by the producer's activity but are outside the owned farmland. <input type="checkbox"/> Measures to improve biodiversity in areas in the immediate neighborhood to fields. <input type="checkbox"/> Measures to improve biodiversity in areas closest to the producer's land/fields. In this case, it shall be justified why measures closer to the producer's land/fields are not possible. <input type="checkbox"/> If the farm situation changes (additional land, replanting, destruction/rebuilding of greenhouses, etc.), on-farm areas need to be established and considered. The consideration is documented in a written assessment. 		

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
7.1.4 Evidence of nontreatment of areas dedicated to biodiversity with pesticides or fertilizers		[Major Must]
<input type="checkbox"/> Field records show that areas dedicated to biodiversity have not been treated. <input type="checkbox"/> () The producer provides a signed statement together with the records that areas dedicated to biodiversity have not been treated.	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	
7.1.5 Wildlife corridors and small landscape structures		[Minor Must]
<p>Are there any structures that count as wildlife corridors or small landscape structures on the producer's land?</p> <input type="checkbox"/> If YES <ul style="list-style-type: none"> <input type="checkbox"/> There is a description of how these wildlife corridors are beneficial to the respective plant and animal species on the farm: <ul style="list-style-type: none"> • Which areas do they connect? • Which species take advantage of these wildlife corridors? <input type="checkbox"/> () Wildlife corridors and small landscape structures are indicated on: <ul style="list-style-type: none"> <input type="checkbox"/> () A map <input type="checkbox"/> () A list <input type="checkbox"/> There are clear policies available that require that no pesticides and fertilizers are applied. 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<ul style="list-style-type: none"> <input type="checkbox"/> No pesticides and fertilizers have been applied to such structures (see control point 7.1.4). <input type="checkbox"/> If NO <ul style="list-style-type: none"> <input type="checkbox"/> Evaluate in the BAP (map or list) where wildlife corridors or small landscape structures may feasibly be established on farming area. <input type="checkbox"/> Describe which species (identified in control point 8.2.1) can take advantage of wildlife corridors. ① It is recommended to ask external experts and advisers for support in the description of wildlife corridors and landscape structures. ① Plan wildlife corridors and landscape structures together with areas dedicated to biodiversity. Wildlife corridors and landscape structures also count as areas dedicated to biodiversity. 		
8 PROTECTION, RESTORATION, AND OTHER PRACTICES		
8.1 Primary, natural, and semi-natural ecosystems and habitats and protected areas		
8.1.1 Awareness of existence of primary, natural, and semi-natural habitats and ecosystems and protected areas		[Major Must]
<ul style="list-style-type: none"> <input type="checkbox"/> There is documentation of all types of primary, natural, and semi-natural habitats and ecosystems and protected areas on the producer's land (including leased and exchanged land) and respective geographical information regarding these areas on, e.g.: (<input type="checkbox"/>) A map 	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<input type="checkbox"/> A list <input type="checkbox"/> Others: _____ <input type="checkbox"/> This documentation is an integral part of the BAP. <input type="checkbox"/> Additional information from stakeholders formally responsible for (protected) areas such as authorities, NGOs, etc., is available. For instance: <ul style="list-style-type: none"> • Land tenure documents • List of (protected) areas • Maps of (protected) areas <p>① Definitions of protected areas, habitats and ecosystems. See guidance document.</p>		
8.1.2 Nonagricultural use of protected areas, habitats, and ecosystems		[Major Must]
<input type="checkbox"/> There is proof (e.g., documents or maps) that agricultural use has not occurred in protected areas, habitats, and ecosystems. The proof is available in the form of: <ul style="list-style-type: none"> <input type="checkbox"/> Maps dating back to 2014 <input type="checkbox"/> A list of plots dating back to 2014 (e.g., field records) <input type="checkbox"/> Confirmations from authorized third-party organizations <input type="checkbox"/> Others: _____ <input type="checkbox"/> There has been agricultural use in protected areas, but this was in line with control point 8.1.3.	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
8.1.3 No conflict between agricultural use and conservation goals of protected areas		[Major Must]
<input type="checkbox"/> Official documents from an authorized third-party show that protected areas are allowed to be farmed. <input type="checkbox"/> The conservation goals are clear to the producer and listed in the BAP. <input type="checkbox"/> The authorized authority approves the farming measures. They are listed in the BAP, and it is explained how they contribute to the conservation goals.	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	
8.1.4 Restoration of protected areas, natural and semi-natural habitats and ecosystems		[Major Must]
<p>There are areas (protected areas, natural and semi-natural habitats and ecosystems) that have been used for agricultural production since 1 January 2008.</p> <input type="checkbox"/> Yes <input type="checkbox"/> No <p>If yes:</p> <input type="checkbox"/> The affected areas are described in the BAP, e.g., in a map, list, or documents from authorities, etc. <input type="checkbox"/> The areas have already been restored. This is proven in documents and visible in the field. <ul style="list-style-type: none"> <input type="checkbox"/> At least half of the area has already been restored. <input type="checkbox"/> The areas are currently being restored.	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<ul style="list-style-type: none"> <input type="checkbox"/> This is visible in a plan and integrated into the BAP. <input type="checkbox"/> At least half of the areas are already being restored. <input type="checkbox"/> There are plans to restore these areas. <input type="checkbox"/> This is visible in a plan and integrated into the BAP. <input type="checkbox"/> At least half of the areas are planned for restoration. <p>① An area counts as restored once it can be classified as an area dedicated to biodiversity as required in control point 7.1.1 (see description in the guidance document).</p>		
8.1.5 No responsibility for converting semi-natural habitats with high conservation value		[Major Must]
<ul style="list-style-type: none"> <input type="checkbox"/> The producer has not been directly or indirectly responsible for converting or damaging semi-natural habitats with (high) conservation value since 1 January 2014. <input type="checkbox"/> There are documents (from external stakeholders) that prove that the producer is not responsible. <input type="checkbox"/> If the land has been converted or damaged, there is proof that the current producer is not responsible for such damage. 	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
8.2 Other biodiversity protection and management practices		
8.2.1 Awareness of protected and endangered species		[Minor Must]
<p>Awareness is shown by:</p> <ul style="list-style-type: none"> <input type="checkbox"/> There is an overview in the BAP (e.g., a list) of regionally protected and endangered animal and plant species. (<input type="checkbox"/>) Depending on the size of the producer/producer group, a producer-specific list is recommended. <input type="checkbox"/> Beneficial species relevant to IPM are particularly labeled. (<input type="checkbox"/>) The producer has taken action to protect and benefit species (see also control point 8.2.2). <p>① It is highly recommended to get in touch with stakeholders such as NGOs or authorities, as they have extensive knowledge on species protection.</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	
8.2.2 Measures to protect protected and endangered species		[Minor Must]
<p>A plan in the BAP show measures that promote plant and animal species occurring in the region.</p> <ul style="list-style-type: none"> <input type="checkbox"/> For species (or a group of species, where feasible) there is a plan of measures to protect these species. (<input type="checkbox"/>) The planned measures are implemented. <p>① Possible measures (nesting and breeding facilities, water and feeding points, etc.) can be found in the guidance document.</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<p>① It is highly recommended to get in touch with stakeholders such as NGOs or authorities, as they have extensive knowledge on this topic.</p>		
<p>8.2.3 Alien invasive plant and animal species</p>		<p>[Major Must]</p>
<p><input type="checkbox"/> There is knowledge about (some) of the possible invasive alien plant and animal species on the producer’s farm and in the region.</p> <p> <input type="checkbox"/> There is an overview in the BAP (e.g., a list) of the invasive alien species.</p> <p><input type="checkbox"/> The producer is informed about measures to contain and eliminate the invasive alien species.</p> <p> <input type="checkbox"/> There is an overview in the BAP (e.g., a list) of the measures linked to the specific invasive alien species.</p> <p><input type="checkbox"/> Expertise from external experts and advisers has been used.</p> <p>① It is recommended to get in touch with stakeholders such as NGOs or authorities, as they have extensive knowledge on this topic.</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Partly</p> <p><input type="checkbox"/> No</p>	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
8.2.4 Peat reduction strategy [Minor Must]		
<p>There has been an assessment with regard to peat in the BAP on:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Purchased amount <input type="checkbox"/> Use on the farm <input type="checkbox"/> Sources (region/certification) <input type="checkbox"/> The BAP outlines approaches to reduce the use of peat (or stop its use) and explains alternative, more sustainable, and biodiversity-friendly alternatives. 	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	
8.2.5 Non-biodegradable plastics [Minor Must]		
<ul style="list-style-type: none"> <input type="checkbox"/> In a written document, the plastic use on the farm (for agricultural production/plastic sheets) is assessed. <input type="checkbox"/> The producer is aware of which plastic products on the farm are durable or single use. <input type="checkbox"/> The adoption of alternatives that are more environmentally sustainable is described. <input type="checkbox"/> There are demonstrable measures in place to reduce the release of plastics (for agricultural use) to the minimum, e.g., through: <ul style="list-style-type: none"> <input type="checkbox"/> Written descriptions of strategies and measures to: <ul style="list-style-type: none"> • Remove • Reduce • Reuse 	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<ul style="list-style-type: none"> • Recycle plastics <input type="checkbox"/> Explanations from the producer and/or their employees on how plastics are prevented from having an impact on the soil after their use <input type="checkbox"/> Other ways to demonstrate the reduction of the impact of plastics		
9 INTEGRATED PEST MANAGEMENT		
9.1 Compliance with integrated pest management (IPM) control points in IFA v5.2 at higher compliance level		
9.1.1 IPM approach		[Major Must]
<input type="checkbox"/> There is a written document showing that an IPM approach following the steps of the IPM pyramid is implemented (📄 see guidance document). For each crop: <ul style="list-style-type: none"> <input type="checkbox"/> Prevention strategies and measures <input type="checkbox"/> Monitoring and evaluation <input type="checkbox"/> Decision-making based on threshold levels for each crop <input type="checkbox"/> Intervention measures considering <ol style="list-style-type: none"> 1. Mechanical methods 2. Biological methods 3. As a last resort, chemical methods 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<p>Option 2 producer groups</p> <ul style="list-style-type: none"> <input type="checkbox"/> A centralized IPM document on all crops that are produced within the producer group exists. <input type="checkbox"/> The application of plant protection measures by producer group members shall be authorized by the responsible person on group level. 		
<p>9.1.2 Advise and/or training on IPM [Major Must] (Compliance with IFA v5.2, CB 6.1)</p>		
<p>In case of assistance in the implementation of IPM through an external expert or adviser:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The external expert's or adviser's technical competence on IPM is demonstrated through, e.g., <ul style="list-style-type: none"> • Training courses and the respective certificates • Official qualifications • Them being an employee of a competent organization with a track record in specializing in IPM/plant protection and free of conflicts of interest <p>① External experts and advisers free of conflicts of interest can be paid (private external expert or adviser with no ties to companies) or unpaid (e.g., local authorities).</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<p>In case the technically responsible person is within the producer's organization:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Their technical competence on IPM is demonstrated through, e.g., <ul style="list-style-type: none"> • Access to and use of technical IPM literature • Specific training course attendance <p>Option 2 producer groups</p> <ul style="list-style-type: none"> <input type="checkbox"/> Producer group members are regularly trained (at least every two to three years) and informed (at least once a year) on IPM approach (see control point 9.1.1), either by a producer group IPM specialist or a qualified external service provider. <input type="checkbox"/> If there is a chance of pests or diseases occurring, relevant producer group members are informed about the necessary next steps following the IPM pyramid/the IPM plan on group level. 		
9.1.3 Record keeping for plant protection product applications (Compliance with IFA v5.2, CB 7.3.2–7.3.7)		[Major Must]
<ul style="list-style-type: none"> <input type="checkbox"/> Records of all plant protection products (PPPs) are kept and include the following criteria: <ul style="list-style-type: none"> <input type="checkbox"/> Operator: Responsible person/producer group. If it is a team: Names of all the team members <input type="checkbox"/> Justification for application including names of pests, weeds, and diseases <input type="checkbox"/> Technical authorization for application: The decision-maker including their function 	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<input type="checkbox"/> Product quantity applied <input type="checkbox"/> Application machinery used <input type="checkbox"/> Weather conditions at times of application		
9.2.1 Neonicotinoids [Major Must]		
<input type="checkbox"/> There are no neonicotinoids stored except for acetamiprid <input type="checkbox"/> No neonicotinoids have been applied (except for acetamiprid in extreme emergency cases) <input type="checkbox"/> The nonconsideration of the application of neonicotinoids (except acetamiprid) is visible in relevant documents (IPM plan, BAP, and records on the application of PPPs) <input type="checkbox"/> If acetamiprid had to be applied, the approval process provided by GLOBALG.A.P. has been followed: <ul style="list-style-type: none"> <input type="checkbox"/> Application is only allowed in extreme emergency cases. <input type="checkbox"/> The certification body has been contacted and instructions followed. <input type="checkbox"/> There is written approval from the certification body. <p style="color: green;">① Further information on extreme emergency cases and the approval process can be found on the GLOBALG.A.P. website. Extreme emergency cases include:</p> <ul style="list-style-type: none"> • Actual and evident risk of total crop loss • Natural disasters, e.g., locust plague 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
10 SOIL MANAGEMENT		
10.1 Compliance with soil control points in IFA v5.2, CB 3.1, 3.3– 3.7		
10.1.1 Soil management and conservation [Major Must]		
<input type="checkbox"/> Soil management plan including: <ul style="list-style-type: none"> <input type="checkbox"/> Nutritional needs of crops are clear. <input type="checkbox"/> Records of analyses (and/or relevant literature) are available. 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	
<input type="checkbox"/> Crop rotation (where feasible) <ul style="list-style-type: none"> <input type="checkbox"/> Crop rotation can be verified through records (planting date, PPP application, etc.) <input type="checkbox"/> Records exist for the two previous years. 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	
<input type="checkbox"/> Techniques are used to improve and maintain soil structure . (📄 For more information see IFA v5.2, CB 3.4) <ul style="list-style-type: none"> <input type="checkbox"/> The BAP covers the possible measures/techniques. 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	
<input type="checkbox"/> Techniques to reduce the possibility of soil erosion are applied. <ul style="list-style-type: none"> <input type="checkbox"/> The BAP covers the possible measures/techniques. 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	
<input type="checkbox"/> Nutrient contribution of organic fertilizer application is known.	<input type="checkbox"/> Yes <input type="checkbox"/> Partly	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<input type="checkbox"/> Organic fertilizer has been analyzed for their NPK content prior to application. <input type="checkbox"/> If no analysis has taken place , recognized standard values are used. (📌 Ask local agricultural experts or advisers/educational institutes, etc. for standard values.)	<input type="checkbox"/> No	
<input type="checkbox"/> Records on seeding/planting <input type="checkbox"/> Records on sowing/planting <input type="checkbox"/> Rate/density <input type="checkbox"/> Date	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	
10.2 Compliance with fertilizer control points in IFA v5.2, CB 4 at higher compliance level		
10.2.1 ff.: Fertilizer application – training and records (Compliance with IFA v5.2, CB 4.1 and 4.2)		[Major Must]
<input type="checkbox"/> Competent and qualified persons recommend the application of fertilizers. Their competence is demonstrated through: <ul style="list-style-type: none"> • Training courses and the respective certificates for external or internal persons • Official qualifications of external or internal persons • Them being an employee of a competent organization with a track record in specializing in IPM and free of conflicts of interest 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<input type="checkbox"/> Records of the application of all fertilizers are kept: <ul style="list-style-type: none"> <input type="checkbox"/> Field, orchard, greenhouse reference <input type="checkbox"/> Crop <input type="checkbox"/> Application date <input type="checkbox"/> Applied fertilizer type <input type="checkbox"/> Applied quantities <input type="checkbox"/> Method of application <input type="checkbox"/> Operator details 		
10.2.1 ff.: Fertilizer application – storage (Compliance with IFA v5.2, CB 4.3)		[Major Must]
Fertilizers are stored <ul style="list-style-type: none"> <input type="checkbox"/> Separately from PPPs <input type="checkbox"/> In a covered area <input type="checkbox"/> In a clean area <input type="checkbox"/> In a dry area <input type="checkbox"/> In an appropriate manner to reduce the risk of contamination of water sources <input type="checkbox"/> Not together with harvested products <input type="checkbox"/> Regularly updated fertilizer stock inventory (at the latest one month after movement (in/out) of fertilizers) 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
10.2.1 ff.: Organic fertilizer (Compliance with IFA v5.2, CB 4.4) [Major Must]		
<input type="checkbox"/> A risk assessment is carried out prior to all organic fertilizer applications considering: <ul style="list-style-type: none"> <input type="checkbox"/> Type of fertilizer <input type="checkbox"/> Application method of the fertilizer <input type="checkbox"/> Microbial contamination (plant and human pathogens) <input type="checkbox"/> Weed/Seed content <input type="checkbox"/> Heavy metal content <input type="checkbox"/> Timing of application <input type="checkbox"/> Fertilizer placement (direct contact with edible crops, ground between crops, etc.) <input type="checkbox"/> Storage: Organic fertilizer is stored to reduce the risk of contamination of the environment (see also Risk assessment from IFA v5.2, AF 1.2.1) <ul style="list-style-type: none"> <input type="checkbox"/> At least 25m from water sources or <input type="checkbox"/> Leak-proof foundation and walls (e.g., concrete structures or containers) 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
10.2.1 ff: Inorganic fertilizer - nutrient content (Compliance with IFA v5.2, CB 4.5.1) [Major Must]		
<input type="checkbox"/> The major nutrients (NPK) of applied fertilizers are documented (either directly from the fertilizer or from recognized standard values).	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	
11 WATER MANAGEMENT		
11.1 Compliance with control points in SPRING at higher compliance level		
11.1.1 Sources and types of wastewater (SPRING control point 4.1.1) [Minor Must]		
<input type="checkbox"/> <i>There is a documented management plan that:</i> <ul style="list-style-type: none"> <input type="checkbox"/> Identifies all types of wastewater sources on production sites <input type="checkbox"/> Outlines management options for each wastewater source <input type="checkbox"/> An assessment has been conducted if/how wastewater could be: <ul style="list-style-type: none"> <input type="checkbox"/> Prevented <input type="checkbox"/> Minimized <input type="checkbox"/> Treated with more environmentally-friendly options 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	
11.1.2 Implementation of measures to properly manage wastewater (SPRING control point 4.1.2) [Minor Must]		
<input type="checkbox"/> The wastewater from farming activities is not a source of pollution.	<input type="checkbox"/> Yes	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<ul style="list-style-type: none"> <input type="checkbox"/> Measures outlined in the management plan (control point 11.1.1) are being implemented. <input type="checkbox"/> The treatment system is not a major source of pollution but has potential for improvements. <input type="checkbox"/> There is a wastewater treatment system in place in case there is accommodation for workers that is not connected to official sewage systems. 	<ul style="list-style-type: none"> <input type="checkbox"/> Partly <input type="checkbox"/> No 	
11.2 Protection of water sources		
11.2.1 Implementation of measures to avoid contamination of water sources (buffer zones)		[Major Must]
<ul style="list-style-type: none"> <input type="checkbox"/> A 5-meter buffer zone is established for all areas surrounding surface water areas. <input type="checkbox"/> No phytosanitary products or fertilizers are used in the buffer zone. <div style="border: 1px solid red; padding: 5px; margin-top: 10px;"> <ul style="list-style-type: none"> <input type="checkbox"/> 5-meter buffer zones are not established in all areas: <ul style="list-style-type: none"> <input type="checkbox"/> The BAP outlines measures to establish buffer zones within the next three years. <input type="checkbox"/> These areas shall be managed differently. The BAP outlines measures to reduce the impact on surface water until the buffer zone is established. </div>	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	

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Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
<p>For perennials where 5-meter buffer zones cannot be established</p> <ul style="list-style-type: none"> <input type="checkbox"/> Specific farming practices in areas close to surface water are applied to reduce impact. <ul style="list-style-type: none"> <input type="checkbox"/> The practices applied are described in the BAP. <input type="checkbox"/> The guidance document has been consulted for possible measures. <input type="checkbox"/> The buffer zone will be established at the latest when plantation is replanted. 		
<p>11.2.2 Implementation of measures to protect natural water sources (SPRING control point 4.2.2)</p>		<p>[Minor Must]</p>
<ul style="list-style-type: none"> <input type="checkbox"/> There are measures that promote biodiversity and local species in buffer zones. <ul style="list-style-type: none"> <input type="checkbox"/> The measures are outlined in the BAP. <input type="checkbox"/> There is visible evidence that the buffer zone is (re)planted. <input type="checkbox"/> Native species are introduced. 	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No 	

Control point	Fulfilled	Comments and explanation Explanation/Justification if non-compliant
11.2.3 Disposal of plant residues (SPRING control point 4.2.3) [Minor Must]		
<p>Plant residues are disposed of in a controlled manner.</p> <ul style="list-style-type: none"> <input type="checkbox"/> There is a system on the farm to prevent disposed plant residues posing a risk to the environment and water sources. <input type="checkbox"/> Measures for the disposal of plant residues comply with local legislation. <input type="checkbox"/> If local legislation is complied with but there are still risks to the environment, there are measures in place to reduce the risk. <input type="checkbox"/> Risks and measures are explained in the BAP. 	<input type="checkbox"/> Yes <input type="checkbox"/> Partly <input type="checkbox"/> No	

ANNEX I OVERVIEW OF CONTROL POINTS AND THEIR FULFILMENT

This template can be used as an overview document to see which control points are complied with. This information can be used to start the self-assessment.

	Control point	Level	Compliant	Partly compliant	Non-compliant	Comments
1.1.1	BioDiversity representative	Critical				
1.1.2	Role of the BioDiversity representative	Critical				
2.1.1	Farm self-assessment on biodiversity	Critical				
3.1.1	Content of the BAP	Critical				
3.1.2	Review and update of the BAP	Major				
4.1.1	BioDiversity representative training	Major				
4.1.2	Internal and external staff training	Major				
5.1.1	Obtaining and implementing farm-specific advice	Major				
5.1.2	Requirements for external experts and advisers	Major				
5.1.3	External experts and advisers free of conflicts of interest	Major				

	Control point	Level	Compliant	Partly compliant	Non-compliant	Comments
6.1.1	Off-farm opportunities	Minor				
7.1.1	Areas dedicated to biodiversity at least 3% of the total agricultural business area	Major				
7.1.2	Areas dedicated to biodiversity at least 10% of the total agricultural business area	Minor				
7.1.3	Alternative measures to areas dedicated to biodiversity	Major				
7.1.4	Evidence of non-treatment of areas dedicated to biodiversity with pesticides or fertilizers	Major				
7.1.5	Wildlife corridors and small landscape structures	Minor				
8.1.1	Awareness of existence of primary, natural, and semi-natural habitats and ecosystems and protected areas	Major				
8.1.2	Nonagricultural use of protected areas, habitats, and ecosystems	Major				
8.1.3	No conflict between agricultural use and conservation goals of protected areas	Major				

	Control point	Level	Compliant	Partly compliant	Non-compliant	Comments
8.1.4	Restoration of protected areas, natural and semi-natural habitats and ecosystems	Major				
8.1.5	No responsibility for converting semi-natural habitats with high conservation value	Major				
8.2.1	Awareness of protected and endangered species	Minor				
8.2.2	Measures to protect protected and endangered species	Minor				
8.2.3	Alien invasive plant and animal species	Minor				
8.2.4	Peat reduction strategy	Minor				
8.2.5	Non-biodegradable plastics	Minor				
9.1.1	IPM approach	Major				
9.1.2	Advise and/or training on IPM	Major				
9.1.3	Record keeping for plant protection product applications (IFA v5.2, CB 7.3.27.3.7D.)	Major				

	Control point	Level	Compliant	Partly compliant	Non-compliant	Comments
9.2.1	Neonicotinoids	Major				
10.1.1	Soil management plan	Major				
10.1.1	Crop rotation (IFA v5.2, CB 3.3)	Major				
10.1.1	Techniques to improve and maintain soil structure (IFA v5.2, CB 3.4)	Major				
10.1.1	Techniques to avoid soil compaction (IFA v5.2, CB 3.5)	Major				
10.1.1	Nutrition analysis for organic fertilizer application (IFA v5.2, CB 3.6)	Major				
10.1.1	Records on seed/planting rate and date (IFA v5.2, CB 3.7)	Major				
10.2.1	Fertilizer application – training and records (IFA v5.2, CB 4.1.1, 4.2.1–4.2.6)	Major				
10.2.1	Fertilizer application – storage (IFA v5.2, CB 4.3.1–4.3.7)	Major				

	Control point	Level	Compliant	Partly compliant	Non-compliant	Comments
10.2.1	Organic fertilizer - risk assessment (IFA v5.2, CB 4.4.2)	Major				
10.2.1	Organic fertilizer - storage (IFA v5.2, CB 4.4.3)	Major				
10.2.1	Inorganic fertilizer - nutrient content (NPK)	Major				
11.1.1	Identification of wastewater sources and management options (SPRING control point 4.1.1)	Minor				
11.1.2	Implementation of measures to properly manage wastewater (SPRING control point 4.1.2)	Minor				
11.2.1	Implementation of measures to avoid contamination of water sources (buffer zones) (SPRING control point 4.2.1)	Major				
11.2.2	Implementation of measures to protect natural water sources (SPRING control point 4.2.2)	Minor				
11.2.3	Disposal of plant residues (SPRING control point 4.2.3)	Minor				

ANNEX II TEMPLATE “KNOWLEDGE ON TOPICS”

This table is designed to support you with generating an overview of the knowledge required.

		BioDiversity representative	Appointed internal expert (if not the BioDiversity representative)	External expert(s)/ adviser(s) indicate name and organization	Planned training on topic indicate plan for the training of relevant persons
1	BioDiversity representative				
2	Farm self-assessment on biodiversity				
3	Biodiversity action plan				
4	Training, knowledge sharing, and capacity building				
5	Farm-specific biodiversity advice				
6	Off-farm synergies, communication, and collaboration				
7	Areas dedicated to biodiversity				
8	Protection, restoration, and other practices				
8.1	Primary, natural, and semi-natural ecosystems and habitats and protected areas				

		BioDiversity representative	Appointed internal expert (if not the BioDiversity representative)	External expert(s)/ adviser(s) indicate name and organization	Planned training on topic indicate plan for the training of relevant persons
8.2	Other biodiversity protection and management practices				
9	Integrated pest management				
9.1	Compliance with IPM control points in IFA v5.2 at higher compliance level				
9.2	Neonicotinoids				
10	Soil and nutrient management plan				
10.1	Soil management (Compliance with IFA v5.2)				
10.2	Fertilizer application (Compliance with IFA v5.2)				
11	Wastewater management and protection of water sources				
11.1	Wastewater management				
11.2	Protection of water sources				